

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Kwean, *et al.*  
Filing Date: Herewith  
Title: ETCHING GAS COMPOSITION OF SILICON OXIDE AND METHOD  
OF ETCHING SILICON OXIDE USING THE SAME



CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.10

"Express Mail" Mailing Label Number EL871911378US I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated below and is addressed to BOX PATENT APPLICATION, Assistant Commissioner for Patents, Washington, DC 20231.

November 6, 2001  
Date

Denise M. Donahue  
Denise M. Donahue

BOX PATENT APPLICATION  
Assistant Commissioner for Patents  
Washington, DC 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- ☐ under 37 CFR 1.129(a), or  
(First/Second submission after Final Rejection)
- ☒ under 37 CFR 1.97(b), or  
(Within three months of filing national application other than a CPA under §1.53(d); or within three months of date of entry of the national stage in international application; or before mailing date of first Office Action on the merits; or before mailing date of first Office Action after filing RCE under §1.114, whichever occurs last)
- ☐ under 37 CFR 1.97(c) together with either:
- ☐ a Statement under 37 CFR 1.97(e), as checked below, or
- ☐ the \$180.00 fee under 37 CFR 1.17(p), or  
(After the 37 CFR 1.97(b) time period, but before final action or notice of allowance, or other action which closes prosecution, whichever occurs first)
- ☐ under 37 CFR 1.97(d) together with:
- ☐ a Statement under 37 CFR 1.97(e), as checked below, and
- ☐ the \$180.00 petition fee set forth in 37 CFR 1.17(p).  
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

Applicant(s): Kwean, *et al.*

Statement Under 37 1.97(e)

- ☐ Each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.
- ☐ No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

Enclosed herewith is form PTO-1449:

- ☒ Copies of cited references are enclosed.
- ☐ Copies of cited references are enclosed except those entered in prior application, U.S. Serial No. \_\_\_\_\_, and references that are not required to be submitted under 37 CFR 1.98.
- ☐ The listed references were cited in a counterpart foreign application.

Concise Explanation Requirement (non-English references):

☒ The "concise explanation" requirement under 36 CFR 1.98(a)(3)(i) for reference \_\_\_\_ is satisfied by:

- ☐ the explanation provided on the attached sheet.
- ☒ the explanation provided in the Specification.
- ☐ abstract.

It is requested the information disclosed herein be made of record in this application.

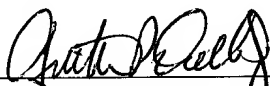
Applicant(s): Kwean, *et al.*

Method of payment:

- ☐ Enclosed is a check in the amount of \_\_\_\_\_.
- ☐ Please charge Deposit Account of 50-1798 in the amount of \_\_\_\_\_.
- ☐ Two duplicate copies of this Statement are enclosed.
- ☒ Please charge any deficiency in fees and credit any overpayment to Deposit Account No. 50-1798.

Respectfully submitted,

Date: November 6, 2001  
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Subst. Form PTO-1449  INFORMATION DISCLOSURE CITATION IN AN APPLICATION  <i>(Use several sheets if necessary)</i>				Docket Number (Optional) <b>SAM-0256</b>		Application Number <b>not yet assigned</b>	
				Applicant <b>Kwean, et al.</b>			
				Filing Date <b>Herewith</b>		Group Art Unit <b>not yet assigned</b>	

U. S. Patent Documents							
EXAMINE R		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLAS S	FILING DATE IF
	AA	6010968	01/04/00	Yang, et al.	438	719	12/24/98
	AB	5429710	07/04/95	Akiba, et al.			02/16/94
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

FOREIGN PATENT DOCUMENTS								
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLAS S	Translation	
							YES	NO
	AL	11-340207	12/10/99	Japan				X
	AM	11-330057	11/30/99	Japan				X
	AN							
	AO							
	AP							
	AQ							

OTHER DOCUMENTS <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>	
AR	
AS	
AT	

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy with next communication to applicant.
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 11036 U.S. PTO  
 09/993832  
 11/06/01